

Docket No. 267336US0PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hans-Ulrich PETEREIT, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/EP03/09800

GAU:

FILED: Herewith

EXAMINER:

FOR: MULTILAYER DOSAGE FORMS, WHICH CONTAIN ACTIVE SUBSTANCES AND WHICH COMPRISE A NEUTRAL CORE, AND AN INNER AND OUTER COATING CONSISTING OF METHACRYLATE COPOLYMERS AND METHACRYLATE MONOMERS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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New U.S. PCT Application Based on PCT/EP03/09800  
Docket No.: 267336US0PCT

STATEMENT OF RELEVANCY

- 1) References AA & AO have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References \_\_\_\_\_ have been cited in the corresponding \_\_\_\_\_ Search Report. A copy of these references is being submitted herewith.
- 3) References AP-AT are discussed in the specification. A copy of these references is being submitted here with.
- 4) Reference \_\_\_\_\_ is additional prior art known to Applicant. A copy of these references is being submitted herewith.

LIST OF RELATED CASES

| <u>Docket Number</u> | <u>Serial or<br/>Patent Number</u> | <u>Filing or<br/>Issue Date</u> | <u>Patent Appl.<br/>Publication No.</u> | <u>Inventor/<br/>Applicant</u> |
|----------------------|------------------------------------|---------------------------------|---|--------------------------------|
| 260100US0PCT         | 10/512,860                         | 11/15/04                        |   | PETEREIT,<br>et al.            |
| 253939US0PCT         | 10/502,648                         | 08/03/04                        |   | PETEREIT,<br>et al.            |
| 253871US0PCT         | 10/501,236                         | 07/12/04                        |   | BECKERT, et<br>al.             |
| 226777US0PCT         | 10/239,209                         | 02/12/03                        | US 2003-<br>0152627 A1                  | BECKERT, et<br>al.             |
| 214948US0PCT         | 6,632,454                          | 10/14/03                        |   | BECKERT, et<br>al.             |
| 212248US0PCT         | 09/926,484                         | 11/09/01                        | US 2003-<br>0060381 A1                  | MEIER, et al.                  |

|  |    |  |          |  |  |   |                               |
|--|----|--|----------|--|--|---|-------------------------------|
| Form PTO 1449<br>(Modified)  |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |          | ATTY DOCKET NO.<br><br>267336US0PCT            |  | SERIAL NO.<br>New U.S. PCT Application<br>Based on PCT/EP03/09800 |                               |
| LIST OF REFERENCES CITED BY APPLICANT  |    |  |          | APPLICANT<br>Hans-Ulrich PETEREIT, et al.      |  |   |                               |
|  |    |  |          | FILING DATE<br>Herewith                        |  | GROUP   |                               |
|  |    |  |          |  |  |   |                               |
| <b>U.S. PATENT DOCUMENTS</b>   |    |  |          |  |  |   |                               |
| EXAMINER<br>INITIAL  |    | DOCUMENT<br>NUMBER   | DATE     | NAME   | CLASS                                  | SUB<br>CLASS  | FILING DATE<br>IF APPROPRIATE |
|  | AA | 5 643 602  | 07/01/97 | ULMIUS, Jan                                    |  |   |                               |
|  | AB | 2003-0152627   | 08/14/03 | BECKERT, Thomas et al.<br>Serial # 10/239,209  |  |   |                               |
|  | AC | 6,632,454  | 10/14/03 | BECKERT, Thomas et al.                         |  |   |                               |
|  | AD | 2003-0060381   | 03/27/03 | MEIER, Christian et al.<br>Serial # 09/926,484 |  |   |                               |
|  | AE |  |          |  |  |   |                               |
|  | AF |  |          |  |  |   |                               |
|  | AG |  |          |  |  |   |                               |
|  | AH |  |          |  |  |   |                               |
|  | AI |  |          |  |  |   |                               |
|  | AJ |  |          |  |  |   |                               |
|  | AK |  |          |  |  |   |                               |
|  | AL |  |          |  |  |   |                               |
|  | AM |  |          |  |  |   |                               |
|  | AN |  |          |  |  |   |                               |
| <b>FOREIGN PATENT DOCUMENTS</b>  |    |  |          |  |  |   |                               |
|  |    | DOCUMENT<br>NUMBER   | DATE     | COUNTRY  | TRANSLATION<br>YES                  NO |   |                               |
|  | AO | 0 519 870  | 12/23/92 | EP   |  |   | NO                            |
|  | AP | 0 512 038  | 08/21/85 | EP   |  |   | NO                            |
|  | AQ | 0 208 213  | 01/14/97 | EP   |  |   | NO                            |
|  | AR | 0 617 972  | 10/05/94 | EP   |  |   | NO                            |
|  | AS | 01/68767   | 09/20/01 | WO(with English abstract)                      |  |   | NO                            |
|  | AT | 01/68058   | 09/20/01 | WO(with English abstract)                      |  |   | NO                            |
|  | AU |  |          |  |  |   |                               |
|  | AV |  |          |  |  |   |                               |
| <b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>   |    |  |          |  |  |   |                               |
|  | AW |  |          |  |  |   |                               |
|  | AX |  |          |  |  |   |                               |
|  | AY |  |          |  |  |   |                               |
|  | AZ |  |          |  |  | <input type="checkbox"/> Additional References sheet(s) attached  |                               |
| Examiner   |    |  |          |  | Date Considered                        |   |                               |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |    |  |          |  |  |   |                               |